

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q87174

Kenichi OKADA, et al.

Appln. No.: Not Assigned

Confirmation No.: Not Assigned

Group Art Unit: Not Assigned

Filed: March 30, 2005

Examiner: Not Assigned

For:

ELECTRODE SUBSTRATE, PHOTOELECTRIC CONVERSION ELEMENT, CONDUCTIVE GLASS SUBSTRATE AND METHOD OF MANUFACTURING THE

SAME, DYE-SENSITIZED SOLAR CELL

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- Japanese Unexamined Patent Application 1-220380, published September 4, 1989.
 Corresponds to U.S. Patent 4,927,721, issues May 22, 1990.
- 2. O'Regan and Graetzel, "A low-cost, high-efficiency solar cell based on dye-sensitized colloidal TiO₂ films", *Nature*, Vol. 353, October 24, 1991, pp. 737-740.

INFORMATION DISCLOSURE STATEMENT National Stage Entry of PCT/JP03/12738 Q87174

10/529818 JC17 Rec'd PCT/PTO 31 MAR 2005

3. Published Japanese Translation 8-15097 published February 14, 1996, of PCT International application publication WO 91/16719, the corresponding PCT application of Japanese Patent Application 1-220380.

The following references are cited in the International Search Report:

- 4. WO 00/48212 published August 17, 2000
- 5. Japanese Application 2002-536805, published October 29, 2002 which corresponds to WO 00/48212.
 - 6. WO 00/42674 published July 20, 2000
- 7. Japanese Application 2002-535808, published October 22, 2002 which corresponds to WO 00/42674.
 - 8. JP 6-204541 published July 22, 1994
 - 9. DE 4303055 published August 26, 1993
 - 10. JP 8-51229 published February 20, 1996
 - 11. JP 2003-203681, published July 18, 2003; with English Abstract
 - 12. JP 2003-203682 published July 18, 2003
 - 13. JP 2003-203683 published July 18, 2003
 - 14. U.S. Patent Application Publication 2002/014721 published October 17, 2002.
- 15. Japanese Unexamined Patent Application No. 2001-283941, published October 12, 2001.
- 16. Japanese Unexamined Patent Application No. 2000-231942, published August 22,2000.

- 17. Japanese Unexamined Patent No. 9-82997, published March 28, 1997.
- 18. Japanese Unexamined Patent Application 2000-285977, published October 13, 2000.
- 19. WO 95/06320, published March 2, 1995; corresponds to DE 4303055

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT National Stage Entry of PCT/JP03/12738 Q87174

10/529818 JC17 Rec'd PCT/PTO 31 MAR 2005

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Howard L. Bernstein

Registration No. 25,665

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: March 30, 2005

ostitute for Form 1449 A & B/PTO			Complete if Known		
ubstitute for Form 14	Stitute for Form 1449 A & B/P I O		Application Number	Not Assigned	
INFO	RMATION	DISCLOSURE	Confirmation Number	Not Assigned 15298	
STATEMENT BY APPLICANT			Filing Date	March 30, 2005	
			First Named Inventor	Kenichi OKADA	
(use as many sheets as necessary)			Art Unit	Not Assigned	
			Examiner Name	Not Assigned	
Sheet	1	of 1	Attorney Docket Number	Q87174	
	-		JC17 Rec'd	PCT/PTO 3 I WAR ZUUS	

U.S. PATENT DOCUMENTS						
		Document Number				
Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 4,927,721		05-22-1990	Gratzel et al.	
		US 6,462,266	B1 .	10-08-2002	Kurth	
		US 2002/014721		10-17-2000	Lindquist et al.	
		US				

FOREIGN PATENT DOCUMENTS							
	Cite	Fo	Foreign Patent Document			Name of Patentee or	Translation ⁶
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	1 Fansiation
		WO	00/48212	A1	08-17-2000	Kurthglas & Spiegel AG	
		JP	2002-536805	Α	10-29-2002		
		WO	00/42674	A1	07-20-2000	Lindquist	
		ЛР	2002-535808		10-22-2002		
		JP	6-204541	Α	07-22-1994	Canon Inc.	
		DE	4303055	A1	08-26-1993	Quinten	
		JР	8-51229	Α	02-20-1996	Sharp Corporation	
		JР	2003-203681	A	07-18-2003	Fujikura, Ltd.	English Abstract
		JР	2003-203682	Α	07-18-2003	Fujikura, Ltd.	
		JР	2003-203683	Α	07-18-2003	Fujikura, Ltd.	
		JР	1-220380	Α	09-04-1989		
		JP	8-15097	B2	2-14-1989		
		WO	91-16719		10-31-1991		
		JР	2001-283941	Α	10-12-2001	Hitachi Maxwell, Ltd.	
		JР	2000-231942	A	08-22-2002	Nikon Corp.	
		JР	9-82997	Α	03-28-1997	Sharp Corp.	
		JР	2000-285977	Α	10-13-2000	Fuji Photo Film Co., Ltd.	
		WO	95/06320		03-02-1995		

O'REGAN and GRAETZEL, "A low-cost, high-efficiency solar cell based on dye-	
sensitized colloidal TiO ₂ films", <i>Nature</i> , Vol. 353, October 24, 1991, pp. 737-740.	
_	sensitized colloidal TiO ₂ films", <i>Nature</i> , Vol. 353, October 24, 1991, pp. 737-740.

	7- 2	T	1	
Examiner Signature		Date Considered		
Billiani Digitalia				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.